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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/961,024	09/21/2001	Brian R. Mears	884.481US1	5127
21186	7590	04/08/2004	EXAMINER	
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. BOX 2938 MINNEAPOLIS, MN 55402			PATEL, NIMESH G	
			ART UNIT	PAPER NUMBER
			2112	
DATE MAILED: 04/08/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/961,024

Applicant(s)

MEARS ET AL.

Examiner

Nimesh G Patel

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-43 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-43 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 September 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>3</u> . | 6) <input type="checkbox"/> Other: ____ |

DETAILED ACTION

Claim Rejections - 35 USC § 112

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 1-27 and 36-43 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

3. Claim 1 recites the limitation "the plurality of receive control channels" in line 6 of the claim. There is insufficient antecedent basis for this limitation in the claim.

4. Claim 19 recites the limitation "the plurality of receive control channels" in lines 11-12 of the claim. There is insufficient antecedent basis for this limitation in the claim. Claim 19 is also rejected because the claim language is unclear. The claim recites "wherein each of the first and second communications interface include: a bus interface coupled to the first semiconductor chip." The drawings do not show the second communications interface being coupled to the first semiconductor chip. The claim also recites "wherein each of the first and second communications interface include: and a second semiconductor chip coupled to the second communications interface." This statement is unclear.

5. Claim 36 recites the limitation "the plurality of receive control channels" in lines 7-8 of the claim. There is insufficient antecedent basis for this limitation in the claim.

6. Claim 43 recites the limitation "the receipt control block" in line 1 of the claim. There is insufficient antecedent basis for this limitation in the claim.

7. Claims 2-18, 20-27, and 37-43 are rejected since they are dependent on rejected claims 1, 19, and 36.

Claim Rejections - 35 USC § 102

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8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

9. Claims 1-2 are rejected under 35 U.S.C. 102(b) as being anticipated by Holm et al.('680), hereinafter referred to as Holm.

10. Regarding claim 1, Holm discloses a communications interface, comprising: a bus interface coupleable to a bus(Figure 1), a plurality of transmit channels coupled to the bus interface; a transmit control block(Figure 1, 40) coupled to the plurality of transmit channels; a plurality of receive channels coupled to the bus interface; and a receive control block(Figure 1, 42) coupled to the plurality of receive channels.

11. Regarding claim 2, Holm discloses a communications interface, further comprising a direct memory access controller(Figure 1, 44) coupled to the bus interface.

12. Claims 1-13, 17-18, and 36-43 are rejected under 35 U.S.C. 102(e) as being anticipated by Baker('938).

13. Regarding claim 1, Baker discloses a communications interface, comprising: a bus interface coupleable to a bus(Figure 2), a plurality of transmit channels coupled to the bus interface; a transmit control block(Figure 2, 78) coupled to the plurality of transmit

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channels(DMA channels); a plurality of receive channels coupled to the bus interface; and a receive control block(Figure 2, 78) coupled to the plurality of receive channels(DMA channels).

14. Regarding claim 2, Baker discloses a communications interface, further comprising a direct memory access controller(Figure 2, 72) coupled to the bus interface.

15. Regarding claim 3, Baker discloses a communications interface, wherein the bus interface comprises a plurality of transmit control registers and a plurality of receive control registers(Figure 2, 88).

16. Regarding claim 4, Baker discloses a communications interface, wherein the plurality of transmit control registers comprises a transmit first in first out (FIFO) register associated with each transmit channel(Figure 2, 82, 84) and a channel status register associated with each transmit channel(Figure 2, 88).

17. Regarding claim 5, Baker discloses a communications interface, wherein the plurality of receive control registers comprises a receive FIFO register coupled to each receive channel(Figure 2, 80) and a channel status register associated with each receive channel(Figure 2, 88).

18. Regarding claim 6, Baker discloses a communications interface, wherein each of the plurality of transmit channels and each of the plurality of receive channels comprises a first in first out (FIFO) memory device(Figure 2, 80, 82, 84).

19. Regarding claim 8, Baker discloses a communications interface, wherein the transmit control block comprises a channel arbiter adapted to select a next one of the plurality of transmit channels to be activated(Figure 12, 340).

20. Regarding claim 9, Baker discloses a communications interface, wherein the transmit control block comprises a link controller adapted to transmit data from a selected transmit channel across a selected link.(Figure 2, 90).

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21. Regarding claim 10, Baker discloses a communications interface, wherein the receive control block comprises a state machine adapted to store a current active channel number, a number of bits in a current byte being transferred and to write each byte to a selected one of the plurality of receive channels(Figure 12, 352; Column 13, Lines 12-14).
22. Regarding claim 11, Baker discloses a communications interface, wherein the plurality of transmit channels comprises: at least one channel adapted to send a clock signal(Figure 26b, clkA); at least one channel adapted to send a strobe signal(Figure 12, 356); at least one channel adapted to send a wait signal(Column 26, Lines 40-41); and at least one channel adapted to send data(Column 26, Lines 66-67).
23. Regarding claim 12, Baker discloses a communications interface, wherein the plurality of receive channels comprises: at least one channel adapted to send a clock signal(Figure 26b, clkB); at least one channel adapted to send a strobe signal(Figure 12, 356); at least one channel adapted to send a wait signal(Column 25, Lines 20-23); and at least one channel adapted to send data(Column 25, Lines 28-29).
24. Regarding claim 13, Baker discloses a communications interface, wherein at least one of the plurality of transmit channels and the plurality of receive channels comprise a virtual general purpose input/output channel(Column 7, Lines 42-43).
25. Regarding claim 17, Baker discloses a communications interface, wherein the transmit control block comprises: a multiplexer coupled to the plurality of transmit channels; a parallel in serial out converter (PISO)(Column 6 Lines 20-22) coupled to the multiplexer; and a control circuit coupled to the multiplexer and the PISO and adapted to select one of the plurality of transmit channels to transmit data(Fig 12, 344).
26. Regarding claim 18, Baker discloses a communications interface, wherein the receive control block comprises: a demultiplexer coupled to the plurality of receive channels; a serial in

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parallel out converter (SIPO)(Column 6 Lines 20-22); and a control circuit coupled to the demultiplexer and adapted to select one of the plurality of receive channels to receive data((Fig 12, 344).

27. Regarding claim 36, Baker discloses a method of forming a communications interface, comprising: forming a bus interface(Figure 2); forming a plurality of transmit channels coupled to the bus interface; forming a transmit control block(Figure 2, 78) coupled to the plurality of transmit channels(DMA channels); forming a plurality of receive channels coupled to the bus interface; and forming a receive control block(Figure 2, 78) coupled to the plurality of receive control channels(DMA channels).

28. Regarding claim 37, Baker discloses a method, wherein forming the bus interface comprises forming a plurality of transmit control registers and a plurality of receive control registers((Figure 2, 88).

29. Regarding claim 38, Baker discloses a method, wherein forming the transmit control block comprises: forming a channel arbiter adapted to determine a next one of the plurality of channels to be activated(Figure 12, 340); and forming a link controller adapted to transmit data from a selected transmit channel across a selected link(Figure 2, 90).

30. Regarding claim 39, Baker discloses a method, wherein forming the receive control block comprises forming a state machine adapted to store a currently active channel number, a number of bits in a current byte being transferred and to write each byte to a selected one of the plurality of receive channels(Figure 12, 352; Column 13, Lines 12-14).

31. Regarding claim 40, Baker discloses a method, wherein forming the plurality of transmit channels and forming the plurality of receive channels, each comprises: forming at least one channel adapted to send a clock signal(Figure 26b, clkA, clkB); forming at least one channel adapted to send a strobe signal(Column 12, 356); forming at least one channel adapted to send

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a wait signal(Column 26, Lines 40-41; Column 25, Lines 20-23); and forming at least one channel adapted to send data(Column 25, Lines 28-29; Column 26, Lines 66-67).

32. Regarding claim 41, Baker discloses a method, further comprising forming at least one virtual general purpose input/output channel(Column 7, Lines 42-43).

33. Regarding claim 42, Baker discloses a method, wherein forming the transmit control block comprises: forming a multiplexer coupled to the plurality of transmit channels; forming a parallel in serial out converter (PISO)(Column 6, Lines 20-22) coupled to the multiplexer; and forming a control circuit coupled to the multiplexer and to the PISO(Figure 12, 344).

34. Regarding claim 43, Baker discloses a method, wherein forming the receipt control block comprises: forming a demultiplexer coupled to the plurality of receive channels; forming a serial in parallel out converter (SIPO)(Column 6; Lines 20-22); forming a control circuit coupled to the demultiplexer and adapted to select one of the plurality of receive channels to receive data(Figure 12, 344).

Claim Rejections - 35 USC § 103

35. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

36. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later

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invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

37. Claims 14-15 and 28-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baker, in view of Earnest('338).

38. Regarding claim 14, Baker discloses a communications interface, further comprising: a start threshold register adapted to set a start threshold value to cause a start message to be sent to a source when the receive FIFO can receive additional data(Column 19, Lines 7-15).

Baker does not disclose a channel stop threshold register adapted to set a threshold value to cause a stop message to be sent to a source when a receive FIFO is full. However, Earnest discloses a stop threshold register adapted to set a threshold value to cause a stop message to be sent to a source when a receive FIFO is full(Column 11, Lines 40-45). Therefore, it would have been obvious to include the stop threshold register, as disclosed by Earnest, in the system of Baker, since this would prevent the writing of data in FIFO that has no more room for data.

39. Regarding claim 15, Baker discloses a communications interface, further comprising: a start message channel coupled to the receive control block and adapted to send a start message to the source when the receive FIFO reaches a start threshold value(Column 19, Lines 7-15).

Baker does not disclose a stop message channel coupled to the receive control block and adapted to send a stop message to a source when a receive FIFO reaches a stop threshold value. However, Earnest discloses a stop message channel coupled to the receive control block and adapted to send a stop message to a source when a receive FIFO reaches a stop threshold value(Column 11, Lines 40-45). Therefore, it would have been obvious to include the stop

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message channel, as disclosed by Earnest, in the system of Baker, since this would prevent the writing of data in FIFO that has no more room for data.

40. Regarding claim 28, Baker discloses a method of transmitting data between semiconductor chips, comprising writing data into at least one of a plurality of transmit FIFOs(Figure 2, 82, 84); selecting one of the plurality of transmit FIFOs that contains data to be transmitted and that is not in a wait state(Column 14, Lines 20-28).

Baker does not disclose and transmitting the data to a corresponding one of the plurality of receive FIFOs that has not exceeded a threshold value. However, Earnest discloses and transmitting the data to a corresponding one of the plurality of receive FIFOs that has not exceeded a threshold value(Column 11, Lines 40-45).). Therefore, it would have been obvious the teachings of Earnest, with that of Baker, since this would prevent the writing of data in FIFO that has no more room for data.

41. Regarding claim 29, Baker discloses a method, further comprising: sending a wait signal to a transmit control block if the corresponding one of the receive FIFOs cannot receive data; and removing the wait signal when the corresponding one of the receive FIFOs can receive data(Column 26, Lines 40-41).

42. Regarding claim 30, Baker discloses a method, further comprising selecting another one of the plurality of transmit FIFOs to send data to another corresponding one of the plurality of receive FIFOs while the corresponding one of the receive FIFOs cannot receive data(Column 14, Lines 20-28).

43. Regarding claim 31, Baker discloses a method, further comprising: sending a strobe signal to initiate a transmission of data(Figure 12, 356); sending a selected channel number over which the data is to be transmitted(Column 14, Lines 20-28); and sending an end of message signal after the data has been transmitted(Column 25, Lines 62-64).

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44. Regarding claim 32, Baker discloses a method, further comprising: sending a start message when the corresponding one of the receive FIFOs can receive data(Column 19, Lines 7-15). Earnest discloses sending a stop message if the corresponding one of the receive FIFOs cannot receive data(Column 11, Lines 40-45).

45. Regarding claim 33, Baker discloses a method, further comprising: selecting one of the plurality of transmit FIFOs and the corresponding one of the plurality of receive FIFOs by a predetermined algorithm(Figure 13).

46. Regarding claim 34, Baker does not disclose the predetermined algorithm is round-robin. However, the round-robin algorithm is a well-known arbitration scheme and therefore could be substituted for the arbitration scheme in Baker's system.

47. Claim 35 is rejected under 35 U.S.C. 103(a) as being unpatentable over Baker, in view of Earnest, and in further view of Holm.

Baker and Earnest does not disclose a method, further comprising selecting an interface width from one of a serial width, a two-bit width and a nibble width. However, Holm discloses width of the data bus being any size(Column 8, Lines 31-32). Therefore it would have been obvious to use the teachings of Holm in the system of Baker and Earnest, to use a bus with varying width since this would increase compatibility.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The prior art further disclose teachings related to multiple channel communications interface.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nimesh G Patel whose telephone number is 703-305-7583. The examiner can normally be reached on M-F, 8:30-6:00.

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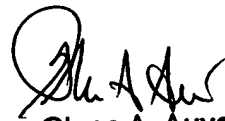
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark H Rinehart can be reached on 703-305-4815. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Nimesh G Patel
Examiner
Art Unit 2112

NP NP

Monday, April 05, 2004


Glenn A. Auve
Primary Patent Examiner
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